Sheet 1 of 5 Form PTO-1449 Atty. Docket No. Serial No. U.S. Department of Commerce 53437-A-PCT-09/534,711 Patent and Trademark Office US/JPW/SHS O I P EINFORMATION DISCLOSURE CITATION Applicants (Use several sheets if necessary) Livingston, et al. Filing Date March 24, 2000 ECL JAN 2 9 2002 **U.S. PATENT DOCUMENTS** E Paraine E Date Document Number Class Name Subclass Filing Date if Appropriate FOREIGN PATENT DOCUMENTS **Document Number** Date Country Class Subclass Translation Yes No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Adluri, S., et al. (1995) "Immunogenicity of synthetic TF-KLH (keyhole limpet hemocyanin) and sTn-KLH conjugates in colorectal carcinoma patients." Cancer Immunol. Immunother. 41: 185-192 (Exhibit 1) Bernhard, H., et al. (1992) "Immunorecognition of ganglioside epitopes on human normal and melanoma tissues." Int. J. Cancer 51: 568-572 (Exhibit 2) Brezicka, F. T., et al. (1989) "Immunohistological detection of fucosyl-GM1 ganglioside in human lung cancer and normal tissues with monoclonal antibodies." Cancer Res. 49: 1300-1305 (Exhibit 3) Cheung, N-K. V., et al. "Monoclonal antibody to a glycolipid antigen on human neuroblastoma cells." Cancer Res. 45: 2642-2649 (Exhibit 4) EXAMINER DATE CONSIDERED circulon considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicants:

Philip O. Livingston,

et al.

U.S. Serial No.: 09/534,711 Filed: March 24, 2000

(Exhibit A)

Sheet 2 of 5 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. 53437-A-PCT-09/534,71 Patent and Trademark Office US/JPW/SHS **ENERGY AND SECTION OF STREET AND SECTION OF SECTION OF** Applicants (Use several sheets if necessary) Livingston, et al. JAN 2 9 2002 Filing Date Group March 24, 2000 1642 **U.S. PATENT DOCUMENTS** ExamineraDE **Document Number** Name Class Subclass Filing Date Initial if Appropriate FOREIGN PATENT DOCUMENTS **Document Number** Date Country Class Subclass Translation Yes No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Cheung, N-K. V., et al. (1987) "Ganglioside GD2 specific monoclonal antibody 3F8: a phase-I study in patients with neuroblastoma and malignant melanoma." J. Clin. Oncol. 5: 1430-1440 (Exhibit 5) Dippold, W. G., et al. (1985) "Immunohistochemical localization of ganglioside GD3 in human malignant melanoma, epithelial tumors, and normal tissues." Cancer Res. 45: 3699-3705 (Exhibit 6) Finn, O. J., (1995) "Immunotherapy: tumor vaccines." Proc. Am. Assoc. Cancer Res. 36: 675 (Exhibit 7) Hamilton, W. B., et al. (1993) "Ganglioside expression on human malignant melanoma assessed by quantitative immune thin-layer chromatography." Int. J. Cancer 53: 566-573 (Exhibit 8)

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